

**ABANS GROUP OF COMPANIES**

**POLICY ON GOOD TILL CANCELLED/ GOOD TILL  
TRIGGERED & GOOD TILL DATE ORDERS**

**(Revised Edition: \_\_\_\_\_)**

*[Board Resolutions Dated: ASPL –  
\_\_\_\_\_/ ABSPL - \_\_\_\_\_ /  
ACIPL – \_\_\_\_\_]*

**ABans Securities Private Limited (ASPL)  
ABans Broking Services Private Limited (ABSPL)  
ABans Commodities India Private Limited (ACIPL)**

**POLICY ON GOOD TILL CANCELLED / GOOD TILL TRIGGERED & GOOD TILL DATE ORDERS**

**1. Purpose**

The purpose of this Policy is to establish appropriate controls for the use of **Good Till Cancelled (GTC), Good Till Date (GTD), Good Till Triggered (GTT)** and any other similar order validity or persistent order functionality offered through the Company's procured/ internal trading systems.

The Policy aims to ensure that such order types are appropriately controlled and are not enabled for users unless specifically requested and authorised.

**2. Scope**

This Policy shall be applicable to all users, employees, dealers and authorised personnel using the Company's trading platforms and systems, including the **third-party CTCL Trading Application** used by the Company for order management and execution.

**3. Default Configuration**

The Company does not ordinarily use GTC, GTD, GTT or any similar "Good Till" order functionality as part of its standard trading facility.

Accordingly, such order types/features shall remain **disabled by default for all users** in the trading application.

The Technology/Operations team shall ensure that the relevant system configuration is maintained through the Admin console accordingly.

**4. User-Specific Enablement**

Where a client/user specifically requests access to GTC, GTD, GTT or any similar functionality, the same may be enabled **only for the particular user**, subject to the Company's prescribed approval and verification process.

Such enablement shall:

- Be based on a documented request from the client/user;
- Be approved by the designated authorised personnel;
- Be enabled only for the specific client/user;
- Not result in automatic enablement for other users; and
- Be appropriately recorded for audit and monitoring purposes.

## **5. System and Access Controls**

Only authorised personnel shall be permitted to enable or modify GTC, GTD, GTT or similar order functionality.

The Company shall maintain appropriate access controls and audit trails for any changes made to such functionality. Any system or configuration change introduced by the third-party CTCL vendor affecting these order types shall be reviewed by the concerned Operations/Technology and Compliance teams, wherever applicable.

## **6. Technical/Execution Risks and Liability**

The Company shall take reasonable measures to ensure the availability and proper functioning of GTC, GTD, GTT and similar order functionalities. However, applied clients/users acknowledge that such orders are subject to risks arising from technical failures, system downtime, connectivity issues, communication failures, exchange/broker systems, third-party CTCL/trading applications, internet or network disruptions, latency, delays, order rejection, cancellation, modification or non-execution, and other circumstances beyond the Company's reasonable control.

Accordingly, the Company shall not be responsible for any loss, cost, damage or liability arising solely due to such technical, connectivity, system or execution-related issues, including non-placement, delayed placement, rejection, non-triggering, non-execution or delayed execution of GTC, GTD, GTT or similar orders, except to the extent otherwise required under applicable laws, SEBI regulations, Exchange requirements, contractual obligations or where such liability arises from the Company's proven wilful misconduct, fraud or gross negligence.

The Company may, where reasonably practicable, take appropriate corrective or risk-mitigation measures in the event of any system or technical issue affecting such orders. Clients/users shall be responsible for monitoring their orders and positions and shall not rely solely on the continued availability or successful execution of any GTC, GTD, GTT or similar order.

## **7. Monitoring**

The Company shall periodically review the relevant system configuration to ensure that:

- GTC, GTD, GTT and similar functionality remains disabled by default;
- Only specifically approved users have such functionality enabled; and
- No unauthorised changes have been made to the configuration.

Any discrepancy or unauthorised enablement shall be promptly reported to the concerned authority and corrective action shall be taken.

## **8. Review of Policy**

This Policy shall be reviewed at least once every year or earlier, if required, due to changes in regulatory requirements, trading systems, order types, technology or business processes.

The Policy shall be read in conjunction with the Company's **Risk Management Policy, Internal Control Mechanism, Cyber Security Policy** and other applicable internal policies and regulatory requirements.

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